

Attorney Docket No.: GB920020024US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail Certificate

Express Mail mailing label number: EV 335 601 202 US  
Date of Deposit: June 26, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and addressed to the Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Cathy Rietz  
(Name of person mailing paper)

Cathy Rietz  
(Signature)

Commissioner For Patents  
Mail Stop: NEW PATENT APPLICATION  
P.O. Box 1450  
Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL FORM

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): **Paul Ashmore**  
**John Matthew Fairhurst**  
**Michael Huw Francis**  
**Robert Bruce Nicholson**  
**Simon Walsh**  
**Barry John Wood**

For (title): **Maintaining Data Access During Failure Of A Controller.**

Enclosed are the following:

- ☐ Application Cover Page
- ☒ 18 pages of Specification that consists of, 14 pages of Description, 3 pages of Claims and 1 page of Abstract;
- ☒ 5 sheet of drawings;
- ☒ Inventor(s) Declaration;
- ☒ Preliminary Amendment;
- ☒ Priority is hereby claimed from:  
UK Patent Application Number 0214669.4 filed on 26 June 2002;  
Patent Application Number filed on ;
- ☒ Certified Copy of priority document(s);
- ☐ English language translation of priority document;
- ☐ Application Data Sheet;
- ☐ Information Disclosure Statement and/or Form PTO 1449 and cited art;
- ☐ Assignment and Recordation Form Cover Sheet;



- ☒ Return Receipt Postcard;  
☐ Other:

Assignment Data

- ☒ An assignment of the invention to International Business Machines Corporation  
☐ is attached.  
☒ will follow.

Small Entity Status

- ☐ Applicant claims small entity status.

Fees

☐ Design Patent Application \$ 330.00

☒ Utility Patent Application

	Number Filed	Number Extra	Rate	Basic Fee
Total				\$ 750.00
Claims	31 - 20 =	11 x	\$ 18.00	\$ 198.00
Independent				
Claims0	5 - 3 = 2	x	\$ 84.00	\$ 168.00
Assignment Recordal		1 x	\$ 40.00	\$

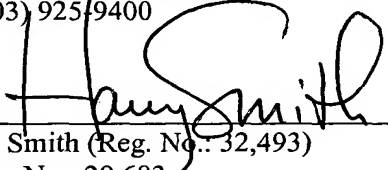
TOTAL FEES \$ 1316.00

- ☐ A check in the amount of \$\_\_\_\_\_ in payment of the filing fee is enclosed.
- ☒ Charge \$1316.00 to Deposit Account No. 09-0466
- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. 1.16 and 1.17 which may be required for this communication or during the entire pendency of this patent application, or credit any overpayment, to Deposit Account No. 09-0466

Correspondence

Address all future communications to: **Customer No.: 29,683**  
Direct telephone calls to Harry F. Smith at (203) 925-9400  
Direct facsimiles to (203) 944-0245

6/26/2003  
Date

  
Harry F. Smith (Reg. No.: 32,493)  
Customer No.: 29,683  
Harrington & Smith, LLP  
4 Research Drive  
Shelton, CT 06484-6212  
(203) 925-9400